

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

- against -

BARRY C. HONIG, JOHN STETSON,  
MICHAEL BRAUSER, JOHN R.  
O'ROURKE III, MARK GROUSSMAN,  
PHILLIP FROST, ROBERT LADD,  
ELLIOT MAZA, BRIAN KELLER, : JOHN  
H. FORD, ALPHA CAPITAL ANSTALT,  
ATG : CAPITAL LLC, FROST GAMMA  
INVESTMENTS : TRUST, GRQ  
CONSULTANTS, INC., HS CONTRARIAN  
INVESTMENTS, LLC, GRANDER  
HOLDINGS, INC., MELECHDA VID, INC.,  
OPKO HEALTH, INC., SOUTHERN  
BIOTECH, INC., and STETSON CAPITAL  
INVESTMENTS INC.,

Defendants.

Case No. : 1:18-cv-08175-ER

**NOTICE OF APPEARANCE**

**ECF CASE**

**TO THE CLERK OF THIS COURT AND ALL PARTIES OF RECORD:**

PLEASE TAKE NOTICE that Kevin J. O'Brien appears as counsel for Defendant ROBERT LADD in this action and demands that all parties serve copy of all notices and other papers in this action upon the counsel at the address, telephone and email address indicated below:

Ford O'Brien LLP  
575 Fifth Avenue, 17th Floor  
New York, New York 10017  
(212) 858-0040  
kobrien@fordobrien.com

I certify that I am admitted to practice in this Court.

Dated: New York, New York  
January 14, 2021

FORD O'BRIEN LLP

A handwritten signature in black ink, appearing to read 'KOB', with a horizontal line extending from the end of the signature.

---

Kevin J. O'Brien, Esq.  
FORD O'BRIEN LLP  
*Attorney for Defendant Robert Ladd*  
575 Fifth Avenue, FL 17  
New York, New York 10017  
Tel.: (212) 858-0040  
kobrien@fordobrien.com